word and the too US it shall can fee as

interes of the following the committee is given in the committee is given. In the committee of the Comm. of

# IN THE U.S. PATENT AND TRADEMARK OFFICE

Maiasis, Deur 1900, N

Inventor

Karl Hermann CLAASEN et al.

Patent App.

10/524,644 (US Nat'l phase 'o

PCT/DE2003/002621)

Filed

7 February 2005

For

MAIN CYLINDER OR PRESS CYLINDER OF AN

EXTRUSION/TUBE EXTRUSION PRESS

Hon. Commissioner of Patents

Box 1450Alexandria, VA 22313-1450

### RECORD OF TRANSMITTAL -- PCT APPLICATION

- PCT Transmittal
- PCT Application
- Translation
- Sheets of Drawing (0)
- PCT Declaration
- PCT Documents
- International Search Report
- Preliminary Amendment
- Assignment (with PTO-1595 and sep. PTO-2038)
- PTO-2038 for Official Fees

Late filing

\$130.00

01/11/2006 ATRAN1 00000043 10524644

01 FC:1617

130.00 OP

Total

\$130.00

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

5 January 2006

5676 Riverdale Ave. Box 900

Bronx, NY 10471-0900

Tel: (718) 884-6600

Fax: (718) 601-1099

Customer No. 535

rg

Respectfully submitted,

The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752

PTO 1390 Page 1 of 1		age 1 of 1 U	S Dept. of Commerce Pat. & Trademark Office	Attorney's Docket No. 23172		
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371				US. Application No. (if known) 10/524644		
INTERNATIONAL APP. NO. PCT/DE2003/002621			INTERNATIONAL FILING DATE 5 August 2003	PRIORITY DATE CLAIMED  8 August 2002		
TITLE OF INVENTION  MAIN CYLINDER OR PRESS CYLINDER OF AN EXTRUSION/TUBE EXTRUSION PRESS						
APPLICANT(S) FOR DO/EO/US Karl Hermann CLAASEN et al						
Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following.						
1.		This is a FIRST submission	on of items concerning a filing under 35	5 USC 371.		
2.		This is a SECOND or SU	BSEQUENT submission of items conc	erning a filing under 35 USC 371.		
3.		This is an express request	to begin national examination procedure	es (35 USC 3/1(1)) at any time rather		
			til the expiration of the applicable time	limit set in 33 OSC 317(b) and FC1		
4		Articles 22 and 39(1).	motional Braliminary Evamination was t	made by the 19th month from the		
4.		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
5.			l Application as filed (35 USC 371(c)(2	)).		
٥.	a.  is transmitted herewith (required only if not transmitted by the International Bureau.					
		b.  has been transmit	ted by the International Bureau.			
			s the application was filed in the United	States Patent Office.		
6.		A translation of the International application into English.				
7.		Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).				
		<ul> <li>a.     are transmitted herewith (required only if not transmitted by the International Bureau.</li> <li>b.    have been transmitted by the International Bureau.</li> </ul>				
		b. $\square$ have been transm	atted by the international Bureau.	such amendments has NOT expired.		
			ade and will not be made.	buon umonumento nuo 1101 onp		
8.		A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).				
9.		An oath or declaration of the inventor(s) (35 USC 371(c)(4).				
10.		and the second of the second o				
		(35 USC 371(c)(5)).				
Items 11. to 16. below concern documents or information included:						
11.   An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12.		An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is				
	included.					
13.		A FIRST preliminary amendment.				
14.		A substitute specification.				
15. 16.						
10.		Office tremp of information	1.			

\*U Hes d\_PCT/PTO International Application no. Attorney's Docket No. US Application no (if knows PCT/DE2003/002621 23172 10/524644 CALCULATIONS PTO USE ONLY 17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search report has been prepared by the EPO or JP ...... \$950.00 Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) . . . . . . . . . . . . \$750.00 No int'l prel. exam. fee paid to USPTO (37 CFR 1.482) but int'l search fee paid to USPTO (37 CFR 1.445(a)(2) ..... \$790.00 Neither int'l prel. exam fee (37 CFR 1.482) nor int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1000.00 Intl. prel. exam. fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Art. 33(2-4) . . . . . . . . . \$200.00 ENTER APPROPRIATE BASIC FEE AMOUNT Surcharge of \$130.00 for furnishing oath or declaration later than □ 20 ■ 30 \$130 months from the earliest claimed priority date (37 CFR 1.492(e)). **RATE** NO. FILED NO. EXTRA **CLAIMS** Total claims Ind. claims MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.) TOTAL OF ABOVE CALCULATIONS \$130 Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement \$0 must also be filed (37 CFR 1.2, 1.27, 1.28) \$130 Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE \$130 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be · \$40 accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39) TOTAL FEES ENCLOSED \$170 Amt to be refunded Amt to be charged a.  $\square$  A check in the amount of \$130 to cover the above fees is enclosed b.  $\square$  Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed. c. 
Please charge the amount due to the credit card identified in the attached PTO-2038. d. The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed e. A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

erbert Dubno, Reg. No. 19,752

Send all correspondence to:

5676 Riverdale Ave. Box 900 Riverdale (Bronx), NY 10471









UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Abstandia, Viginia 22313-1450 www.upto.gov

INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/524,644

Karl Hermann Claasen

23172

PCT/DE03/02621

I.A. FILING DATE

PRIORITY DATE

08/05/2003

08/08/2002

CONFIRMATION NO. 1990 71 FORMALITIES LETTER

\*OC00000017544685\*

5676 Riverdale Ave Box 900 Riverdale (Bronx), NY 10471

The firm of Karl F Ross

Date Mailed: 12/21/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/07/2005
- Copy of the International Search Report filed on 02/07/2005
- Copy of IPE Report filed on 02/07/2005
- Preliminary Amendments filed on 02/07/2005
- U.S. Basic National Fees filed on 02/07/2005
- Priority Documents filed on 02/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.





ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/524,644	PCT/DE03/02621	23172

FORM PCT/DO/EO/905 (371 Formalities Notice)